

# Natural person's questionnaire

Date \_\_\_\_\_

In accordance with legislation of the Republic of Lithuania regarding tax administration and prevention of money laundering and terrorist financing, also in order to implement "Know Your Customer" principle, requirements of international treaties and agreements of the Republic of Lithuania, also legislation of European Union and the Republic of Lithuania regarding administrative cooperation on area of taxation financial institution is required to collect information about a client before entering or proceeding with business relations with the client. We ensure that the information provided is deemed to be confidential and will be protected as required by the Law on Banks of Lithuania and the Law of the Republic of Lithuania on Legal Protection of Personal Data.

## Customer data

First name	Surname	ID code	Date of birth
Country of birth	Country of residence	Citizenship	Other citizenship
Country and code of tax residence*			
Other country and code of tax residency*		USA TIN number	

## Contact data

### Residential / Legal address

Street, building, apartment number

City	Country and postal code
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### Postal address

Street, building, apartment number

City	Country and postal code
Mobile	Tel. No 1
Tel. No 2	E. mail

## Additional data

### Purpose of customer relationship

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Daily settlements | <input type="checkbox"/> Loans (incl. Credit cards) | <input type="checkbox"/> Securities transactions |
| <input type="checkbox"/> Savings           | <input type="checkbox"/> Insurance/Pension          | <input type="checkbox"/> Leasing                 |

## Field of activity

- |                                       |   |                                      |                                    |
|---------------------------------------|---|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Hired worker | <input type="checkbox"/> Student or scholar | <input type="checkbox"/> Not working | <input type="checkbox"/> Pensioner |
|---------------------------------------|---|--------------------------------------|------------------------------------|
- Entrepreneur (incl. self-employed):
- trade in food and households goods
  - vehicle trade
  - real estate trade / rental
  - agriculture activities
  - provision of services (construction, repair work, beauty services, etc.)
  - legal services, lawyer, notary activities
  - artistic / intellectual activities (photographers, translators, event organizers, etc.)
  - activities related to black, colored or precious metals, precious stones, works of art
  - other (specify) \_\_\_\_\_

Employer / entrepreneur / educational institution

Position

Client signature

**In which banks (incl. foreign banks) do you have accounts?**

Bank name

Country

**Connection with Lithuania**

(filled in by non-residents)

- Studies       Work       Real estate       Shareholder of local company
- Other (specify) \_\_\_\_\_

**Are you, your close family member or a close associate a politically exposed person (PEP)\*?**

- No
- Yes (specify)       I am       Close family member       Close associate
- Head of the State, Head of the Government, Minister, Vice Minister or Deputy Minister, Secretary of State, Chancellor of the Parliament, the Government or any Ministry
- Member of the Parliament
- Member of the Supreme Courts, the Constitutional Courts or any other judicial authority, against whose decisions there is no judicial remedy
- Mayor of the municipality, municipality administration director
- A member of the management body of the Supreme State Audit and Control Office or the Central Bank Chairman, Deputy Chairman or a member of the Management Board
- an Ambassador, Chargés d'affaires ad interim, The Chief of Defense of the Republic of Lithuania, Commanders of the armed forces and units, Chief of Defense Staff or Senior Officer of Armed Forces of Foreign Countries.
- A member of the management or supervisory body of the company managed by the state or municipality
- Director, deputy director or member of the management or supervisory body of the international intergovernmental organization
- Head, deputy head or member of the management body of political party
- Other (specify) \_\_\_\_\_

**Information of politically exposed person**

First name and surname

Personal ID-code

Document type

Date of birth

Country of residence

**Planned monthly income in SEB accounts**

- No account in SEB bank       0–1 000 EUR       1 001–3 000 EUR       3 001–5 000 EUR       5 001–10 000 EUR
- 10 001–15 000 EUR       >15 000 EUR Please specify \_\_\_\_\_

**Estimated monthly cash turnover (deposit and withdrawals) in SEB**

- No account in SEB bank       0–1000 EUR       1 001–3 000 EUR       3 001–5 000 EUR       5 001–10 000 EUR
- 10 001–15 000 EUR       >15 000 EUR Please specify \_\_\_\_\_

**Source of income**

- Salary       Pension, social benefits / grants       Savings
- Inheritance       Scholarship
- Payments from family members / close relatives       Sale or rent of real estate
- Individual activities (including royalties, sport activities, betting)
- Investments (securities, dividends, loans, etc.)

**To / from which countries do you make transfers / receive funds?****Does the first deposit to SEB accounts exceed 15 000 EUR? Not applicable for current customers.**

- Yes      Please describe how you, or the person from whom you received the money, earned these finances. \_\_\_\_\_
- No

Client signature

Please mark if SEB can send you offers

SEB can send me offers\*\*

SEB can not send me offers

No offers into channels

E-mail

Post

Internet bank

Phone call

SMS

An automatic call

\*\*Hereby I agree that AB SEB bankas, „SEB Life and Pension Baltic SE“ Lithuanian branch or UAB „SEB investicijų valdymas“ provide offerings individually for me, information on planned events or campaigns, various news regarding the bank offerings or any other information; ask for my feed-back regarding services at my above-indicated contact data. This is the purpose for which said entities will process my personal data (name, surname, date of birth, contact data, i. e. address for correspondence, telephone numbers, e-mail address), whereas the bank will additionally processes information available to it on my bank accounts and transactions in said accounts, financial standing, existing financial obligations, family information and concluded agreements.

The consent is valid and your personal data is processed for the specified purposes as long as you are a customer of at least on SEB Group company, but no longer than the withdrawal of the individual written consent. I understand that at any time I am able to change my details, option or consents given in this form at my Internet Bank (Other / Settings -> My data) or at SEB Bank branch.

I do confirm that the information provided in the present Questionnaire is true and correct and I do undertake to forthwith notify in writing of any changes in the information provided. I am aware that all or part of the information provided in this Questionnaire may be transferred to the tax administrator or to any other institution authorized by the Republic of Lithuania in accordance with international treaties or agreements, legislation of the European Union as well as the Republic of Lithuania regarding automated exchange of information on financial accounts. I do undertake to use the services of AB SEB bankas and other companies of the SEB Group only for legitimate purposes and am aware that if it transpires that information provided in the present Questionnaire is incorrect or erroneous, law enforcement institutions of the Republic of Lithuania may be informed about it and it may lead to unilateral termination of business relations.

I do confirm I am the beneficial owner of the account and the funds contained therein and I am acting on my behalf. For the purpose of this document, SEB group companies are AB SEB bankas, “SEB Life and Pension Baltic SE” Lithuanian branch and UAB “SEB investicijų valdymas”.

Signature of the Customer

Signature, of the bank officer, first name and surname, position

Client first name and surname

Date

Date

## Definitions

**\*Resident for tax purposes** – a natural person whose income is subject to taxation under national laws and regulations of relevant country, e.g. if a person's place of permanent residence is in any specific state, the duration of the stay is more than 183 days and more within a 12-month period, close personal or economic relations or any other criteria serving as the basis for taxation of income in a relevant country (e.g. in the US, inter alia, a US citizen or resident who has a residence permit (Green Card), is deemed to be a US tax resident).

**\*Politically exposed person** – a natural person who is or was during the past 18 months, entrusted with prominent public functions in the Republic of Lithuania, the European Union, international or foreign state institutions as well as close members or close assistants of such person.

**Close family member** – the spouse, the person with whom partnership has been registered (hereinafter referred to as the 'cohabiting partner'), parents, brothers, sisters, children and children's spouses, children's cohabitant partners.

### **Close associate:**

- 1) a natural person who, together with the person who is/was entrusted with the above mentioned prominent public functions, participates in the same legal entity or maintains other business relations;
- 2) a natural person who is the only owner of the legal entity set up or operating de facto with the aim of acquiring property or another personal benefit for the person who is/was entrusted with the above mentioned prominent public functions.

**SEB** – or the purpose of the present document, such are AB SEB bankas, „SEB Life and Pension Baltic SE“ Lithuanian branch and UAB „SEB investicijų valdymas“.