

Legal person's questionnaire

Date _____

In accordance with legislation of the Republic of Lithuania regarding tax administration and prevention of money laundering and terrorist financing, also in order to implement "Know Your Customer" principle, requirements of international treaties and agreements of the Republic of Lithuania, also legislation of European Union and the Republic of Lithuania regarding administrative cooperation on area of taxation bank is required to collect information about a client before entering or proceeding with business relations with the client. We ensure that the information provided is deemed to be confidential and will be protected as required by the Law on Banks of Lithuania and the Law of the Republic of Lithuania on Legal Protection of Personal Data.

Customer data

Full name	Type of legal entity	Country of registration
Registry code	Customer registration date	Principal activity
Country and code of tax residence*		
USA TIN number		

Contact data

Residential / Legal address

Street, building, apartment number

City	Country and postal code
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Postal address

Street, building, apartment number

City	Country and postal code
Mobile	Tel. No 1
Tel. No 2	E. mail

Contact information about the customer's representative

First name, surname			
E. mail			
Mobile			
Position			

Additional data

Purpose of customer relationship

- Daily settlements Trade finance Loans (incl. Credit cards) Securities transactions
 Savings Pension and/or Life insurance Leasing Payment card readers

Are the securities of the legal person listed on a regulated securities market (stock exchange)?

- Yes No

Name of the regulated securities market (stock exchange)

ISIN code

Client signature

Number of employees

Banks (incl. foreign banks) in which the legal person has accounts

Actual business address

Website

Is the main business activity in Lithuania?

- Yes
- No (please indicate the legal entity's relationship with Lithuania)
- Some business activity Shareholder Real estate Business partners Other _____

Countries, in which the legal person has offices and subsidiaries

Detailed description of main field of business

Please describe the business of the undertaking in a few sentences.

Services related to the business activity

- | | |
|---|---|
| <input type="checkbox"/> Purchase / sale of antiques | <input type="checkbox"/> Political organisation |
| <input type="checkbox"/> Gambling, games of chance, casino | <input type="checkbox"/> Sale of weapons and ammunition |
| <input type="checkbox"/> Charity | <input type="checkbox"/> Transportation of cash |
| <input type="checkbox"/> Sales of alcohol, tobacco or drug products online | <input type="checkbox"/> Mediation of currency and or payments |
| <input type="checkbox"/> Retail sale of art in specialised stores, art gallery activities | <input type="checkbox"/> Purchase/sale of precious metals and jewellery |
| <input type="checkbox"/> Retail sale of coins and stamps in specialised stores | <input type="checkbox"/> Legal assistance, establishment of organisations |
| <input type="checkbox"/> Activities of auctioning (in internet or action houses) | <input type="checkbox"/> Intermediation in real estate transactions |
| | <input type="checkbox"/> Company is not providing listed services |

Main partners - suppliers (name and country of location)

Main partners - customers (name and country of location)

Does legal person earn passive income? Yes No

Specify whether passive income is more than 50% of company's annual sales? Yes No

Planned monthly income in SEB accounts

No account in SEB bank 0–1 000 EUR 1 001–5 000 EUR 5 001–10 000 EUR 10 001–15 000 EUR
 15 001–100 000 EUR >100 000 EUR Please specify _____

Estimated monthly cash turnover (deposits and withdrawals) in SEB

No account in SEB bank 0–1 000 EUR 1 001–5 000 EUR 5 001–10 000 EUR 10 001–15 000 EUR
 15 001–100 000 EUR >100 000 EUR Please specify _____

Does the first deposit to SEB accounts exceed 15 000 EUR?

Yes Please describe how you, or the person from whom you received the money, earned these finances. _____
 No

Company manager / Authorized person

First name, surname			
Date of birth			
Personal identification code			
Document type			

Immediate shareholders (holders of other property rights)

Does the company have immediate shareholders whose holding is at least 25%? Yes No

Is the immediate shareholder a private person or a legal person? Private person Legal person

If the customer is directly controlled by another legal person, on the next page please draw the management structure.

First name, surname / Full name			
ID code / Registry code			
Citizenship / Country of registration			
Holding in shares or voting rights (%):			
Does this immediate shareholder have an owner who has at least 25% of shares/voting rights?*	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

*Fill this question only if the company has legal immediate shareholders. Immediate shareholder's owner is a private or legal person who is holding at least 25% of the shares, participations or voting rights in your company's legal shareholder.

Ownership structure

If the company is owned or controlled by another legal entity, please:

- draw a complete ownership structure below or;
- provide the ownership structure as an attachment (picture, presentation, etc.).

Please provide mandatory information about all layers of ownership (all legal entities and private individuals, who own at least 25% of the shares or voting rights):

- business name / first name and surname;
- country of registration / residence;
- registration code / personal ID-code or date of birth;
- holding in shares or voting rights (%).

Ownership structure provided as the attachment

Explanation of the ownership structure

Data of the ultimate beneficial owner

Beneficial ownership management type	<input type="checkbox"/> Directly <input type="checkbox"/> Through another legal entity <input type="checkbox"/> Through the Trust	<input type="checkbox"/> Directly <input type="checkbox"/> Through another legal entity <input type="checkbox"/> Through the Trust	<input type="checkbox"/> Directly <input type="checkbox"/> Through another legal entity <input type="checkbox"/> Through the Trust
Ultimate beneficial owner type	<input type="checkbox"/> Shares/voting rights holder Holding in shares or voting rights (%): _____ <input type="checkbox"/> CEO/Senior manager <input type="checkbox"/> Control in another way <input type="checkbox"/> Founder <input type="checkbox"/> The trustee <input type="checkbox"/> Counsel <input type="checkbox"/> Beneficiary <input type="checkbox"/> Any other natural person exercising effective control of the trust	<input type="checkbox"/> Shares/voting rights holder Holding in shares or voting rights (%): _____ <input type="checkbox"/> CEO/Senior manager <input type="checkbox"/> Control in another way <input type="checkbox"/> Founder <input type="checkbox"/> The trustee <input type="checkbox"/> Counsel <input type="checkbox"/> Beneficiary <input type="checkbox"/> Any other natural person exercising effective control of the trust	<input type="checkbox"/> Shares/voting rights holder Holding in shares or voting rights (%): _____ <input type="checkbox"/> CEO/Senior manager <input type="checkbox"/> Control in another way <input type="checkbox"/> Founder <input type="checkbox"/> The trustee <input type="checkbox"/> Counsel <input type="checkbox"/> Beneficiary <input type="checkbox"/> Any other natural person exercising effective control of the trust
First name, surname			
Date of birth			
Country of birth			
Personal ID-code			
Country of residence			
Address of residence (city, street, house or flat number)			
Citizenship			
Country of tax residence			
Code of tax residence			
USA TIN number			
Number of identity document			
Type of document	<input type="checkbox"/> National identity card <input type="checkbox"/> Passport <input type="checkbox"/> Driver's license <input type="checkbox"/> Other _____	<input type="checkbox"/> National identity card <input type="checkbox"/> Passport <input type="checkbox"/> Driver's license <input type="checkbox"/> Other _____	<input type="checkbox"/> National identity card <input type="checkbox"/> Passport <input type="checkbox"/> Driver's license <input type="checkbox"/> Other _____
Country of issue			
Validity of document			
Is the ultimate beneficial owner a tax resident in several countries?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Country of tax residence			
Code of tax residence			
Is the ultimate beneficial owner, their close family member or a close associate a politically exposed person PEP*?	<input type="checkbox"/> No <input type="checkbox"/> UBO is PEP <input type="checkbox"/> Their close family member is PEP <input type="checkbox"/> Their close associate is PEP	<input type="checkbox"/> No <input type="checkbox"/> UBO is PEP <input type="checkbox"/> Their close family member is PEP <input type="checkbox"/> Their close associate is PEP	<input type="checkbox"/> No <input type="checkbox"/> UBO is PEP <input type="checkbox"/> Their close family member is PEP <input type="checkbox"/> Their close associate is PEP

Position of politically exposed person

- Head of the State, Head of the Government, Minister, Vice Minister or Deputy Minister, Secretary of State, Chancellor of the Parliament, the Government or any Ministry
- Member of the Parliament
- Member of the Supreme Courts, the Constitutional Courts or any other judicial authority, against whose decisions there is no judicial remedy
- Mayor of the municipality, municipality administration director
- A member of the management body of the Supreme State Audit and Control Office or the Central Bank Chairman, Deputy Chairman or a member of the Management Board
- an Ambassador, Chargés d'affaires ad interim, The Chief of Defense of the Republic of Lithuania, Commanders of the armed forces and units, Chief of Defense Staff or Senior Officer of Armed Forces of Foreign Countries
- A member of the management or supervisory body of the company managed by the state or municipality
- Director, deputy director or member of the management or supervisory body of the international intergovernmental organization
- Head, deputy head or member of the management body of political party
- Other (specify) _____

Information of politically exposed person

First name and surname	Personal ID-code	
Document type	Date of birth	Country of residence
First name and surname	Personal ID-code	
Document type	Date of birth	Country of residence
First name and surname	Personal ID-code	
Document type	Date of birth	Country of residence
Comment		

Please mark if SEB can send you offers

 SEB can send me offers SEB can not send me offers

No offers into channels

 E-mail Post Internet bank
 Phone call SMS An automatic call

This is to confirm that we are willing to receive all messages, proposals, various news and other important information from AB SEB bankas at the contact details.

I am informed that any time I may refuse of direct marketing proposals to be sent by AB SEB bankas by submitting a written application via Internet bank or in SEB branch office.

I do confirm that the information provided in the present questionnaire is true and correct and I do undertake to forthwith notify in writing of any changes in the information provided. I am aware that all or part of information submitted in this questionnaire can be transferred to tax administrator or other institution authorized by the Republic of Lithuania in accordance to international treaties and agreements and legislation of European Union as well as the Republic of Lithuania regarding automatic exchange of information on financial accounts. I shall use the services of AB SEB bankas and other companies of the SEB Group only for the legitimate purposes and understand that in such case if identified that information provided in this form is incorrect or misleading, the law enforcement institutions of the Republic of Lithuania may be informed about it and this could lead to unilateral termination of business relations.

SEB group companies are AB SEB bankas, "SEB Life and Pension Baltic SE" Lithuanian branch and UAB "SEB investicijų valdymas".

Signature of the Customer

Signature, of the bank officer, First name and surname, position

Client first name and surname

Date

Date

Definitions

***Politically exposed person** – a natural person who is or was during the past 18 months, entrusted with prominent public functions in the Republic of Lithuania, the European Union, international or foreign state institutions as well as close members or close assistants of such person:

- 1) Head of State, Heads of Government, minister, vice minister and deputy minister, secretary of the State, chancellor of Parliament, Government or Ministry
- 2) Member of the Parliament
- 3) Member of the Supreme Courts, the Constitutional Courts or any other judicial authority, against whose decisions there is no judicial remedy
- 4) Mayor of the municipality, municipality administration director
- 5) A member of the management body of the Supreme State Audit and Control Office or the Central Bank Chairman, Deputy Chairman or a member of the Management Board
- 6) an Ambassador, Chargés d'affaires ad interim, The Chief of Defense of the Republic of Lithuania, Commanders of the armed forces and units, Chief of Defense Staff or Senior Officer of Armed Forces of Foreign Countries
- 7) A member of the management or supervisory body of the company managed by the state or municipality
- 8) Leaders or deputies of an international intergovernmental organizations, Member of the Management or Supervisory body (international intergovernmental organizations could be United Nations, Council of Europe, Institution of European Union, Organization for Security and Co-operation in Europe, Organization of American States, NATO and others)
- 9) Head, deputy head or member of the management body of political party

Close family member – the spouse, the person with whom partnership has been registered (hereinafter referred to as the “cohabitant”), parents, brothers, sisters, children and children’s spouses, children’s cohabitants.

Close associate:

- 1) a natural person who, together with the person who is/was entrusted with the above mentioned prominent public functions, participates in the same legal entity or maintains other business relations;
- 2) a natural person who is the only owner of the legal entity set up or operating de facto with the aim of acquiring property or another personal benefit for the person who is/was entrusted with the above mentioned prominent public functions.

***Country of residence for tax purposes** – a country under whose laws and regulations the legal entity shall be considered a tax resident of that country based on the location of the legal entity’s management, the legal entity’s place of registration/incorporation, or based on any other criteria which pursuant to the national law of the relevant country serves as the basis for considering the legal entity as a resident for tax purposes in that country.

Senior Managing Official (SMO) – the natural person(s) who has significant authority over a legal person’s financial relationships (including with financial institutions that hold accounts on behalf of a legal person) and the ongoing financial affairs of the legal person (eg. CEO, CFO, managing or executive director, or president).

Ultimate beneficial owner – any natural person who ultimately owns or controls the customer and/or the natural person on whose behalf a transaction or activity is being conducted and includes at least:

- 1) in the case of corporate entities:
 - a) the natural person who ultimately owns or controls a legal entity through direct or indirect ownership of 25 % or more of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person, shall be an indication of indirect ownership.
 - b) if there no persons under point a) the natural person who holds the position of senior managing official must be identified;
- 2) in the case of trusts:
 - a) the settlor(s);
 - b) the trustee(s);
 - c) the protector(s), if any;
 - d) the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates;
 - e) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means;
- 3) in the case of legal entities such as foundations, and legal arrangements similar to trusts, the natural person holding equivalent or similar positions to those referred to in point 2).