

# Securities services fees and commission charges

(effective from 18 September 2017)

## TRADING EQUITIES AND EXCHANGE TRADED FUNDS (ETF)

Baltic countries	Online trading fees	Comments
LITHUANIA	0.25 %, min. EUR 2	FREE OF CHARGE for the period of one month for the new clients having signed Securities Account Handling Agreement.
LATVIA	0.25 %, min. EUR 2	
ESTONIA	0.25 %, min. EUR 2	
Execution of an order in the Baltic markets placed over the phone or through any bank branch – 1.25 %, min. EUR 12		
North America	Online trading fees	Comments
USA	0.25 %, min. USD 9.95	The trading fee cannot be less than USD 0.02 per share.
CANADA	0.25 %, min. CAD 45	The trading fee cannot be less than USD 0.03 per share.
Scandinavian countries	Online trading fees	Comments
SWEDEN	0.30 %, min. SEK 150	
FINLAND	0.30 %, min. EUR 15	
DENMARK	0.30 %, min. DKK 120	
NORWAY	0.30 %, min. NOK 130	
Western Europe	Online trading fees	Comments
AUSTRIA	0.50 %, min. EUR 35	
BELGIUM	0.50 %, min. EUR 35	
FRANCE	0.50 %, min. EUR 35	A financial transaction tax of 0.20 % applies for all purchases.
GERMANY, FFT FLOOR	0.50 %, min. EUR 50	
GERMANY, XETRA	0.30 %, min. EUR 9.95	
GREAT BRITAIN, British shares	0.50 %, min. GBP 40	A stamp duty of 0.50 % applies for all purchases. A minimum order value may apply if trading on market maker segment.
GREAT BRITAIN, Exchange Traded Funds (ETFs) and Depositary Receipts (GDR/ADR)	0.50 %, min. USD 55	

<b>IRELAND</b>	0.50 %, min. EUR 35	A stamp duty of 1.00 % applies for all purchases.
<b>ITALY</b>	0.50 %, min. EUR 35	A financial transaction tax of 0.10 % applies for all purchases.
<b>NETHERLANDS</b>	0.50 %, min. EUR 35	
<b>PORTUGAL</b>	0.50 %, min. EUR 35	
<b>SPAIN</b>	0.50 %, min. EUR 35	
<b>SWITZERLAND</b>	0.50 %, min. CHF 50	
<b>Central and Eastern Europe</b>	<b>Online trading fees</b>	<b>Comments</b>
<b>BULGARIA</b>	0.80 %, min. BGN 150	
<b>CROATIA</b>	1,00 %, min. HRK 370	
<b>CZECH REPUBLIC</b>	0.70 %, min. CZK 1,250	
<b>HUNGARY</b>	0.70 %, min. HUF 11,000	
<b>RUSSIA, MICEX</b>	0.60 %, min. RUB 800	
<b>POLAND</b>	0.40 %, min. PLN 80	
<b>SERBIA</b>	1,10 %, min. RSD 10,800	An ownership transfer tax of 0.35 % applies for all sales.
<b>Asia</b>	<b>Online trading fees</b>	<b>Comments</b>
<b>AUSTRALIA</b>	0.80 %, min. AUD 95	A minimum order value AUD 15,000.
<b>CHINA (HONG KONG)</b>	0.90 %, min. HKD 650	A minimum order value HKD 100,000.
<b>JAPAN</b>	0.80 %, min. JPY 7,500	A minimum order value JPY 1,500,000.
<b>SINGAPORE</b>	0.90 %, min. SGD 180	A minimum order value SGD 20,000.
<b>Other</b>		<b>Comments</b>
<i>Execution of an order in foreign markets (except Baltic countries) placed over the phone</i>	EUR 10	This fee applies as an add-on to the standard online trading fee.
<i>Other markets</i>	as individually agreed	The relevant market list to be provided by the bank upon the client's request.

- Financial transaction tax in specific market may be applicable regardless of the trading venue or jurisdiction in which the securities are traded.
- Securities services fees and commission charges for Exchange Traded Funds (ETF) in the specific market will be equivalent to the fees and charges applied to equities in the same market.
- Foreign equities can be traded in lots, i.e. the exchange or broker can set the minimum number of shares or trade size multiple.
- OTC trades are available in most of the markets.

## BOND TRADING

Market		Trading fee
Lithuanian Government bond auctions on AB Nasdaq Vilnius Stock Exchange	up to EUR 28,961.99 from EUR 28,962 to EUR 289,620.01 from EUR 289,620.02	0.08 %, min. EUR 11.58 0.04 % as agreed
Other bonds		as agreed

## SECURITIES SAFEKEEPING

Market		VP safekeeping fee
LITHUANIA	up to EUR 300,000.00 from EUR 300,000.01 to EUR 1,500,000.00 from EUR 1,500,000.01	0.02 % quarterly 0.01 % quarterly 0.007 % quarterly
LATVIA, ESTONIA		0.02 % quarterly
KAZAKHSTAN, RUSSIA		0.20 % quarterly
BULGARIA, CROATIA, CZECH REPUBLIC, HUNGARY, POLAND, ROMANIA, SERBIA, SLOVAKIA, SLOVENIA		0.10 % quarterly
AUSTRALIA, AUSTRIA, BELGIUM, CANADA, DENMARK, FINLAND, FRANCE, GERMANY, GREECE, HONG KONG, IRELAND, ITALY, JAPAN, NETHERLANDS, NORWAY, PORTUGAL, SINGAPORE, SPAIN, SWEDEN, SWITZERLAND, UK, USA, TURKEY		0.04 % quarterly
BOSNIA, BRAZIL, EGYPT, GEORGIA, ICELAND, INDONESIA, LUXEMBOURG, MACEDONIA, MALAYSIA, MEXICO, NEW ZEALAND, SOUTH AFRICAN REPUBLIC, ETC.		As individually agreed The relevant market list to be provided by the bank upon the client's request.
EUROBOND MARKET		0.025 % quarterly

- The safekeeping fee is fixed quarterly based on the fee calculation methodology applied by the central depository of the respective country. For the securities that may be stored in several depositories, the fee calculation methodology of the issuer country's depository is applied. Eurobonds are subject to the EUROCLEAR classification methodology. Minimum safekeeping fee makes EUR 2.03 quarterly and is applied to each account separately.

## OTHER SERVICES

Service		Service fee
Opening of securities account Securities are registered in an omnibus account opened in the name of an account operator (AB SEB bankas) in Nasdaq CSD SE Securities are registered in an owner account opened in the name of securities owner in Nasdaq CSD SE		Free of charge 50 EUR
Management of securities account Securities are registered in an omnibus account opened in the name of an account operator (AB SEB bankas) in Nasdaq CSD SE Securities are registered in an owner account opened in the name of securities owner in Nasdaq CSD SE		Free of charge 50 EUR
Opening and management of other types of securities accounts		As individually agreed
DVP (Delivery Versus Payment) transaction	<i>if traded</i> via SEB Bank via another broker	free of charge EUR 5
Cross-Border DVP (Delivery Versus Payment) transaction (fee applies for securities transfers outside Lithuanian market; Delivery Versus Payment service for some foreign securities cannot be offered if traded via another broker)	<i>if traded</i> via SEB Bank via another broker	free of charge EUR 10 plus third party fees

<b>FOP (Free of Payment) transfer to any other broker/agent's accounting in Lithuania</b> (no fee applies for transfer of the client's securities portfolio from any other broker/agent to the client's securities account with SEB Bank)	EUR 4.34
<b>FOP (Free of Payment) transfer to any other broker/agent's accounting in Latvia and Estonia</b> (no fee applies for transfer of the client's securities portfolio from any other broker/agent to the client's securities account with SEB Bank)	EUR 4.34 plus third party fees
<b>FOP (Free of Payment) transfer to any other broker/agent's accounting in other foreign countries</b> (double trading fee can be applied for FOP service for some foreign securities)	EUR 8.69 plus third party fees
<b>Amendment or cancellation of trading or settlement instructions, fixing mistakes, non-confirmation</b>	EUR 14.48 plus third party fees
<b>Registering OTC (Over-the-Counter) trade (donation, buy-sell, exchange, etc.)</b>	EUR 4.34
<b>Securities pledge</b>	EUR 28.96
<b>Buying/redeeming SEB Group's investment fund units and third party's fund units distributed by SEB Bank</b> (fee for DVP transaction is not applied)	Based on the buying/redemption price fixed for that day
<b>Buying/redeeming other third party fund units (except Exchange Traded Funds)</b>	As individually agreed
<b>Safekeeping of SEB Group's investment fund units</b>	Free of charge
<b>Safekeeping of third party investment fund units distributed by SEB Bank and of other third party investment units (except Exchange Traded Funds)</b>	0.04 % quarterly
<b>DVP/FOP settlement of investment fund units (except Exchange Traded Funds)</b>	EUR 8.69 plus third party fees
<b>Income tax filling report</b>	Free of charge
<b>Letter note certifying taxes paid to foreign country</b> (the service cannot be provided in every market)	EUR 14.48 plus third party fees
<b>Reclaim of taxes paid to foreign country</b> (the service cannot be provided in every market)	EUR 57.92 plus third party fees
<b>Client representation in Lithuania</b>	EUR 115.85 plus third party fees
<b>Client representation abroad</b>	EUR 260.66 plus third party fees
<b>The securities transaction based on a corporate action notification</b> (fee applies for investors participating in voluntary corporate actions of foreign companies)	EUR 40 plus third party fees
<b>Securities account statement</b>	Free of charge
<b>Securities transaction report</b>	Once a month – free of charge, EUR 5.79 per each extra report
<b>Other letter note or report</b>	EUR 2.90
<b>Mail delivery</b>	In Lithuania - EUR 1.45, abroad - EUR 2.90
<b>Other services</b>	As individually agreed